

EMIKU JOSEPH

Uganda Microfinance Regulatory Authority.

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PERSONAL STATEMENT

I am an intrinsically motivated microfinance practitioner, who is keen to serve, study in institution that has an image to handle best practices in management and business related issues. I hold a bachelor's degree in Social work and Social Administration with honors and actively involved in Regulations, Licensing and Supervision of all microfinance institutions Uganda Microfinance Regulatory Authority.

EDUCATION BACKGROUND

- a) Uganda Christian University, 2013-2016, Bachelors of Social Work and Social Administration.
- b) Crane High School-Kintale Campus, Kampala, 2011-2012, Uganda Advanced Certificate of Education.
- c) Halcyon High School, 2007-2010, Uganda Certificate of Education.
- d) Opot Primary School, 1999-2005, Primary Leaving Education.

WORK EXPERIENCE.

September, 2017 to Date, Compliance officer at Uganda Microfinance Regulatory Authority, Kampala, Uganda.

Roles and Responsibilities.

- a) Collaborated with the line manager to investigate and resolve compliance issues in the Tier 4 Microfinance and Money Lenders.
- b) Consulted with the legal officers as needed to resolve difficult legal compliance issues.
- c) Responded to violations of rules, regulations, policies, procedures and standards of conduct by initiating investigative procedures in Tier 4 Microfinance and Money Lenders.
- d) Monitored and as necessary, document the status of all compliance activities and identified trends.

- e) Identified potential areas of compliance vulnerability and risk; implemented corrective action plans for resolution of problematic issues and provided general guidance on how to avoid or deal with similar situations.
- f) Provided reports on regular basis and as directed, kept the Compliance Manager informed of the operation and progress of compliance efforts.
- g) Ensured proper reporting of violations or potential violations to duly authorized enforcement agencies as appropriate and required.
- h) Instituted and maintained an effective compliance communication channel for the Tier 4 Microfinance and Money Lenders including promoting heightened awareness of standards of conduct and understanding of new and existing compliance issues related to policies and procedures.
- i) Accessed and processed licenses for the Tier 4 Microfinance and Money Lenders.
- j) Carried out onsite and offsite supervision on the Tier 4 Microfinance and Money Lenders.
- k) Carried out any other duties assigned from time to time.

April- May, 2017. Data Collector, Compassion International Kampala, Uganda.

Roles and Responsibilities

- a) Collected data on Programme Outcome Effectiveness Measure (POEM).
- b) Mobilized children for data collection exercise.
- c) Kept office items like clothes, tablets that helped us to complete work on time.
- d) Coordinated the team well spiritually thus managed to complete assigned tasks.
- e) Participated in morning devotions at office.
- f) Managed to answer questions that arose from respondents.
- g) Provided team updates to the field coordinator.

Transferable Skills

- a) Team Work
- b) Leadership Skill
- c) Communication Skill
- d) Presentation Skill
- e) Interpersonal Skill
- f) Time Management Skill
- g) Research Skill

Others.

- a) Certificate in Performance management at UMI- Kampala.
- b) Certificate in Risk Management in Islamic Financial Institutions-SESRIC
- c) Certificate in Strengthening Financial Literacy and Promoting Islamic Finance-SESRIC
- d) Certificate in Financial Technologies (FINTECH) in Islamic Finance-SESRIC
- e) Certificate in Monetary Operations: tools and techniques for policy implementation-SESRIC
- f) Certificate in Fraud Prevention, Detection and Internal Control at MTAC- Nakawa.
- g) Certificate in Compliance Management- Uganda Institute of Banking and Financial Services, Kampala.

Referees.

Mr. Peter Emong Ojulang, Director Finance & Administration - UMRA.

0772448176.

Mr. Edmond Okiror Okwii, Grade 1 Magistrate – Soroti.

0781057587.

Mr. Julius Engemu, Director Saayu Drug Shop- Soroti.

0774655438.

